

# Appendix B - Audit Plan Monitoring 2017-18

## Year End position

	Directorate	Plan Days	Status	Fieldwork started	Report issued to Management	Finalised	Opinion: Level of Assurance	High Priority Recs	Medium Priority Recs	Low Priority Recs
Cyber security	Resources	16	Final report	■	■	■	Substantial	0	1	0
Health & Safety - Lone Working	Resources	10	Final report	■	■	■	Substantial	0	3	0
Income - card payments	Resources	15	Final report	■	■	■	Substantial	0	1	0
HR absence management	Resources	12	Final report	■	■	■	Substantial	0	2	2
Capital projects (non-housing)	Resources	14	Draft report	■	■		Substantial	0	1	1
Agency staff	Resources	12	Final report	■	■	■	Moderate	0	5	0
House building programme	Communities	10	Final report	■	■	■	Substantial	0	4	0
Community Safety - joint working	Communities	10	Final report	■	■	■	Substantial	0	0	1
Planned maintenance and major works	Communities	12	Final report	■	■	■	Substantial	0	1	1
Health & Safety - Fire Risk Assessments	Communities	10	Final report	■	■	■	Moderate	0	2	0
Planning Applications	Governance	5	Final report	■	■	■	Substantial	0	0	0
Local Plan - Staff Resources	Neighbourhoods	5	Final report	■	■	■	Substantial	0	0	0
Income - Fleet Operations	Neighbourhoods	12	Final report	■	■	■	Substantial	0	0	0
Commercial property - service charges	Neighbourhoods	12	Final report	■	■	■	Moderate	0	3	3
Asset Management Strategy	Neighbourhoods	10	Final report	■	■	■	Moderate	0	4	1
Leisure management contract	Neighbourhoods	12	Final report	■	■	■	Moderate	0	4	1
Housing Benefits	Key Financial Control	10	Final report	■	■	■	Substantial	0	0	0
Payroll inc new HR/payroll system	Key Financial Control	14	Final report	■	■	■	Substantial	0	1	3
Accounting system/General Ledger	Key Financial Control	10	Final report	■	■	■	Moderate	0	1	0
Debtors	Key Financial Control	12	In Progress	■			Will be reported as part of 2018/19 Plan			
Business Continuity Planning	Neighbourhoods	10	Ongoing							
Contract register	Resources	15	Ongoing							
<b>Additional audits</b>										
Health & Safety - Townmead Depot follow up	Neighbourhoods	5	Final Report	■	■	■	n/a	1	0	0
							<b>Totals</b>	<b>1</b>	<b>33</b>	<b>13</b>
<b>Defer (approved)</b>										
Safeguarding	Communities	10								

